



**KETUA PENGARAH KESIHATAN MALAYSIA**  
**DIRECTOR GENERAL OF HEALTH MALAYSIA**

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Tarikh : 14 NOVEMBER 2011

**SENARAI SEPERTI EDARAN**

*Y.Bhg. Datuk/ Dato' / Datin / Tuan / Puan,*

**PEKELILING KETUA PENGARAH KESIHATAN MALAYSIA  
BILANGAN 7/2011 : CHECKLIST FOR RESEARCH ON STEM  
CELL AND CELL-BASED THERAPIES**

**TUJUAN**

1. Tujuan pekeling ini dikeluarkan adalah untuk menerangkan mengenai prosedur yang perlu dipatuhi dalam membuat sebarang permohonan penyelidikan berkaitan sel stem, khasnya kajian yang melibatkan subjek manusia.
2. Setiap permohonan bagi menjalankan penyelidikan berkaitan sel stem haruslah mematuhi **Checklist For Research On Stem Cell And Cell-Based Therapies** yang telah disediakan oleh Jawatankuasa Kebangsaan Etika Penyelidikan dan Terapi Stem Cell (NCESRT).
3. Penyelidikan sel stem yang ingin dijalankan itu juga haruslah mematuhi etika yang ditetapkan dalam buku *Guidelines For Stem Cell Research and Therapy*, MOH/P/PAK/177.08(GU) yang telah diterbitkan oleh pihak Kementerian Kesihatan Malaysia pada Julai 2009.

## LATARBELAKANG

4. Dengan peredaran masa dan berkembangnya perkhidmatan dan aktiviti sel stem di negara ini, maka Jawatankuasa Kebangsaan Etika Penyelidikan dan Terapi Stem Cell (NCESRT) telah mengeluarkan satu garis panduan bertajuk Guidelines For Stem Cell Research and Therapy, MOH/P/PAK/177.08(GU).
5. Objektif penerbitan Guidelines For Stem Cell Research and Therapy, MOH/P/PAK/177.08(GU) adalah sebagai rujukan dan panduan kepada mana-mana pihak samada dari Kementerian Kesihatan Malaysia, mahupun universiti dan juga swasta dalam menjalankan penyelidikan sel stem di negara ini. Setiap penyelidik perlu mematuhi garis panduan yang telah diwujudkan itu.

## PROSEDUR PERMOHONAN PENYELIDIKAN SEL STEM

6. Semua permohonan bagi menjalankan penyelidikan berkaitan sel stem dan melibatkan subjek manusia, samada dari pihak Kementerian Kesihatan Malaysia, universiti mahupun swasta, perlulah dikemukakan kepada Jawatankuasa Etika Penyelidikan Perubatan KKM (MREC). Semua permohonan ke MREC mesti mengikut prosedur yang telah ditetapkan iaitu berdaftar melalui National Medical Research Register (NMRR).
7. Sekretariat Jawatankuasa Etika Penyelidikan Perubatan KKM (MREC) akan menyemak setiap permohonan yang dihantar itu menggunakan **Checklist For Research On Stem Cell And Cell-Based Therapies** seperti di Lampiran 1. Sekiranya permohonan tersebut tidak mematuhi senarai semak yang disediakan itu, maka Sekretariat Jawatankuasa Etika Penyelidikan Perubatan KKM (MREC) diberi tanggungjawab untuk memulangkannya semula kepada penyelidik berkenaan.
8. Sekiranya permohonan tersebut lengkap dan menepati senarai semak itu, maka Sekretariat Jawatankuasa Etika Penyelidikan Perubatan KKM (MREC) akan mengemukakannya kepada Jawatankuasa Kebangsaan Etika Penyelidikan dan Terapi Stem Cell (NCESRT) untuk dinilai dan memberi rekomendasi.

9. Jawatankuasa Kebangsaan Etika Penyelidikan dan Terapi Stem Cell (NCESRT) akan mengemukakan rekomendasi kepada Jawatankuasa Etika Penyelidikan Perubatan KKM (MREC) yang akan memberi keputusan akhir (*final decision*) berkaitan dengan permohonan penyelidikan tersebut. Carta alir permohonan penyelidikan sel stem ini adalah seperti di Lampiran 2.

### **TARIKH KUATKUASA**

10. Pelaksanaan Pekeliling ini adalah berkuatkuasa dari tarikh surat Pekeliling ini dikeluarkan.

### **PERTANYAAN**

11. Sebarang pertanyaan mengenai pelaksanaan Pekeliling ini boleh dikemukakan kepada :-

**URUSETIA      JAWATANKUASA      KEBANGSAAN      ETIKA  
PENYELIDIKAN DAN TERAPI STEM CELL (NCESRT)**


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Sekian dan terima kasih.

**“BERKHIDMAT UNTUK NEGARA”**

*Yang Ukhlas,*



**DATO' SRI DR. HASAN BIN ABDUL RAHMAN**  
Ketua Pengarah Kesihatan Malaysia

**CHECKLIST FOR RESEARCH ON STEM CELL AND CELL-BASED THERAPIES**

Phase/Process	Key requirements	Researcher (Please tick ✓)	Secretariat MREC (Please tick ✓)
1. Pre-clinical studies (investigators must show their own data and not from other laboratories)	<ul style="list-style-type: none"> <li>Approval letter from animal ethics committee is recommended</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Accreditation of animal research facility in institution requiring GLP compliance</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Evidence that the pre-clinical studies was subjected to rigorous and independent peer review and regulatory oversight</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Safety data in small animals</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Safety data in large animals</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Comprehensive toxicology data in small animals (including contamination, acute infusional toxicity, deleterious immune responses, unexpected behavior of the cellular product, and tumorigenesis)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Comprehensive toxicology data in large animals (including risks of contamination, acute infusional toxicity, deleterious immune responses, unexpected behavior of the cellular product, and tumorigenesis)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Proof of principle of the desired effect (that the cells have repaired the damage/disease) – unequivocal efficacy data</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Show biological distribution data</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Show evidence of physiologic integration and long-lived tissue reconstitution</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Show that differentiation (either <i>in vitro</i> before transplantation or <i>in vivo</i> after transplantation) occur only along the desired lineages</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>	
	<ul style="list-style-type: none"> <li>Design based on clinical expectations</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Mechanistic studies to show biology (done by the group)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>GLP compliant</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>

**CHECKLIST FOR RESEARCH ON STEM CELL AND CELL-BASED THERAPIES**

Phase/Process	Key requirements	Researcher (Please tick ✓)	Secretariat MREC (Please tick ✓)
	<ul style="list-style-type: none"> <li>Evidence that the pre-clinical data has been submitted to the NPCB</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
2. Phase I trials	<ul style="list-style-type: none"> <li>Comprehensive pre-clinical studies have been done and data showed safety and efficacy in animals (performed by the group) is recommended</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Procedures on how the cells be tracked in terms of homing to the target area, viability and longevity of the cells</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Procedures on how the safety be monitored</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Procedures to assess risks of tumorigenicity by an independent body must be implemented</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Procedures to assess short, medium and long term side effects</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>GCP compliance</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
3. Phase II trials	<ul style="list-style-type: none"> <li>Data from Phase I trials (performed by the group themselves and if the trial is not performed by the group, explain why the data should be used for this trial)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Procedures on how the cells be tracked in terms of homing to the target area and viability of the cells</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Optimisation of dose, route, regimen, patient population, endpoints, and controlled</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Procedures on how the safety be monitored</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Independent data safety monitoring board</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Plan to assess short, medium and long term side effects</li> <li>GCP compliance</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>

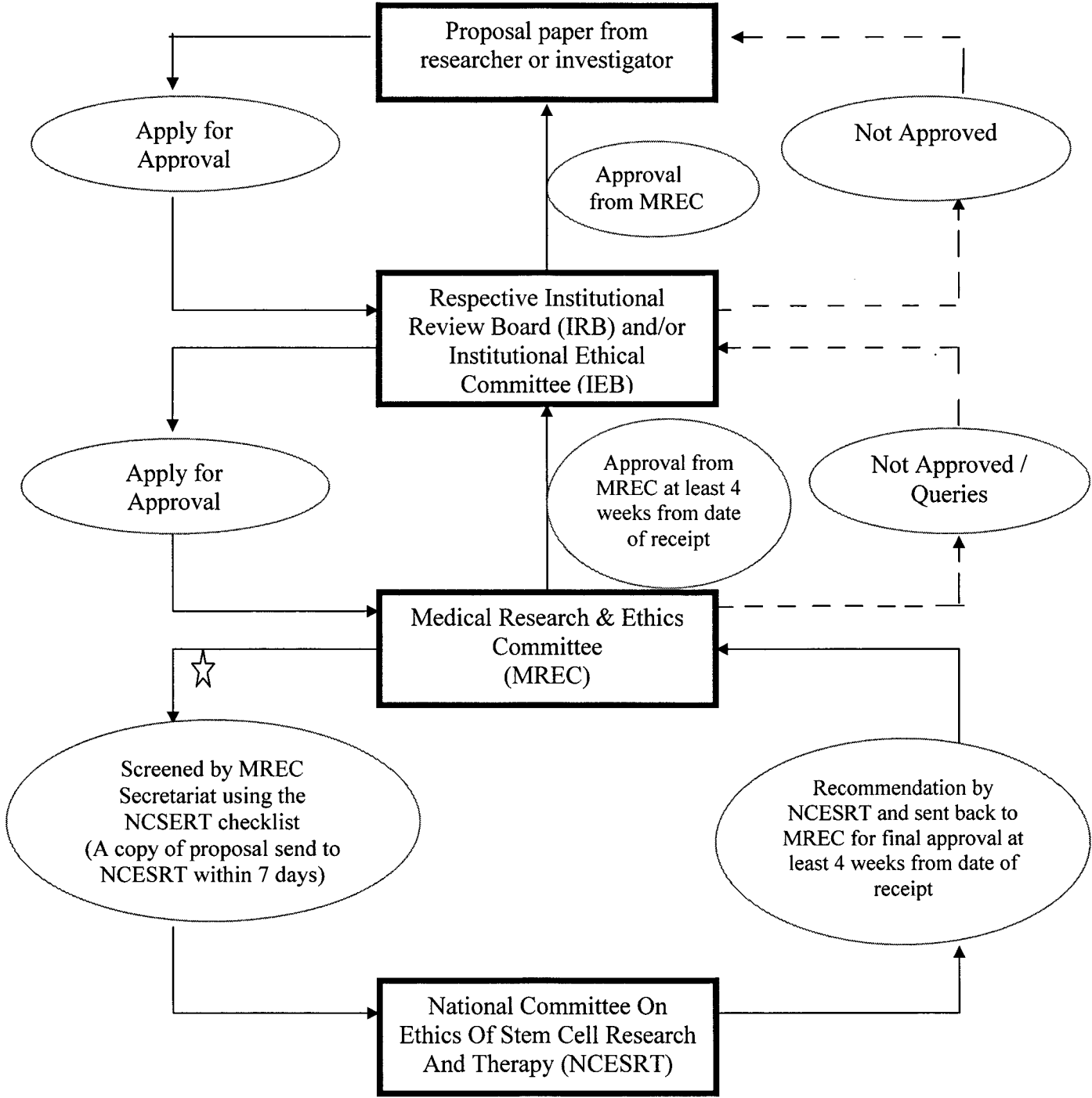
**CHECKLIST FOR RESEARCH ON STEM CELL AND CELL-BASED THERAPIES**

4. Phase III trials	• Data from Phase II trials (performed by the group themselves)	<input type="checkbox"/>	<input type="checkbox"/>
	• Design to show safety and efficacy	<input type="checkbox"/>	<input type="checkbox"/>
	• Independent data safety monitoring board	<input type="checkbox"/>	<input type="checkbox"/>
	• GCP compliance	<input type="checkbox"/>	<input type="checkbox"/>
	• Conduct 'randomised' control	<input type="checkbox"/>	<input type="checkbox"/>
5. Cell processing and manufacturing	• Evidence by a letter of conformance for GMP compliance and issued by relevant authority	<input type="checkbox"/>	<input type="checkbox"/>
	• Show evidence of relevant processes: Standard operating procedures, quality standards, environmental control, equipment qualification, analytical methods, audits, staff training, etc.	<input type="checkbox"/>	<input type="checkbox"/>
	• Cell processing and manufacture of any product must be conducted under scrupulous, expert, and independent review	<input type="checkbox"/>	<input type="checkbox"/>
	• Demonstrate that the product is safe, pure and potent	<input type="checkbox"/>	<input type="checkbox"/>
6. Product registration	• Show that the product has been registered with the National Pharmaceutical Control Bureau before use in human trials	<input type="checkbox"/>	<input type="checkbox"/>
	• License for clinical trial has been obtained	<input type="checkbox"/>	<input type="checkbox"/>
7. Cell characterization (pre-requisite to clinical trials)	• History of the cells in the stem cell or cell-based product	<input type="checkbox"/>	<input type="checkbox"/>
	• Biological characterisation of cell type	<input type="checkbox"/>	<input type="checkbox"/>
	• Demonstration of purity	<input type="checkbox"/>	<input type="checkbox"/>
	• Demonstration of potency (e.g. cells produce insulin in a physiological manner)	<input type="checkbox"/>	<input type="checkbox"/>
	• Manufacturing standards and independent certification, where relevant	<input type="checkbox"/>	<input type="checkbox"/>
	• Evidence that cells are free from contamination	<input type="checkbox"/>	<input type="checkbox"/>

**CHECKLIST FOR RESEARCH ON STEM CELL AND CELL-BASED THERAPIES**

	<ul style="list-style-type: none"> <li>Evidence of viability and longevity of cells after transplantation (to determine the likely duration of the therapeutic effect)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Evidence that cells will home into the area of damage or repair</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Evidence of genomic stability during culture</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
8. Investigators and researchers	<ul style="list-style-type: none"> <li>Is the Principal Investigator trained in cell transplantation? (Show evidence of credentialing)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Are other investigators trained in cell transplantation? (Show evidence of credentialing)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Qualifications of scientists and researchers</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Registration with National Medical Research Register, Ministry of Health (MOH)</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
9. Centres performing therapy (Information for patients)	<ul style="list-style-type: none"> <li>Registration with PHCFS Act, Ministry of Health</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Informing subjects about the human embryonic cell source, if applicable</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>The unique risks; and disclose honestly that the treatment have not been tried before</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Utmost clarity on the potential benefit</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Disclosing financial and non-financial conflicts of interest</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Provide monitoring patients long term</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
	<ul style="list-style-type: none"> <li>Providing a clear, timely, and effective plan for adverse event reporting</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>

**FLOWCHART ON APPLICATION FOR RESEARCH ON STEM CELL AND CELL-BASED THERAPIES**



★ Any application of stem cell related research which involve human subject, shall be refer to MREC for approval.